



# Global Anti-Financial Crime Conference And The Cross-Industry RegTech Developments

June 12 & 13, 2023

Kap Europa, Osloer Straße 5, 60327 Frankfurt/Main

A Project of



INTERNATIONAL BANKERS FORUM

Gold Partner



Bronze Partner



In the Context of



In Cooperation with



Initiated by



## PROGRAM

Status: June 06, 2023

### DAY 1 (June 12, 2023)

#### REGTECH IMPACT FORUM

Implementing regulatory requirements intelligently

RegTech, or Regular Technology, is a growing area of interest for businesses and organizations that need to comply with complex governance, risk, and compliance issues. Which RegTech solutions are most appropriate depends on the nature of the business of the business and its target markets. At the RegTech Impact Forum we will explore how the latest technologies can help simplify and make compliance more efficient.

We bring together leading RegTech experts to understand the current use cases in different industries and highlight the impact RegTech has on organizations. Hear how RegTech is changing the way businesses operate and learn from those at the forefront of this transformation.

The **RegTech Impact Forum** provides a valuable opportunity to share knowledge, experiences, and insights on RegTech and how it can sustainably reduce costs while improving governance, minimizing risk, and adhering to compliance regulations—laying the ground for Day 2 with a deep dive into the anti-money laundering (AML)/counter financial terrorism (CFT) world and the challenges faced with regards to curbing global financial crime.

### DAY 2 (June 13, 2023)

#### GLOBAL AML/CFT CONFERENCE

How to counter money laundering and terrorist financing

Money laundering and terrorist financing continue to be big threats to the global economy and its security. They are still a high priority in the international financial and economic policy. The EU AML Package as well as the establishment of the new EU AML Authority (AMLA) for a comprehensive EU policy and information sharing on preventing money laundering and terrorist financing are the efforts to combat these threats.

But what are the challenges which the world of finance and business face? What channels do the money launderers use and what are their tactics? And how can it be tracked and prevented? How can banks, institutes, and companies comply with the increasing AML regulations and how can technology and digitalization be helpful for them?

The implementation of regulatory requirements for market participants or obligated parties in anti-financial crime as well as the associated increasing handling of mass data—e.g., KYC obligations, transaction data, customer data—represent a task that cannot be mastered in the future without the use of innovative RegTech solutions. Also, on the authority side the requirements and necessities for the use of RegTech solutions to be able to set the right priorities efficiently and effectively are increasing, e.g., with the support of AI and machine learning.

At the **Global AML/CFT Forum**, high-ranked officials and renowned experts not only discuss these questions but provide practical knowledge.

\*requested

1

International Bankers Forum e.V.

Vorstand: Daniel Kapffer, Alexander Lichtenberg, Dr. Nader Maleki, Reinhard R. Oldenburg,  
Marc Renell, Florian Rentsch, Frank Scheidig, Dr. Joachim von Schorlemer •

Vereinsregister Frankfurt am Main VR 8782

Wiesenau 1, 60323 Frankfurt am Main • Fon +49(0) 69-7953482 101 • Fax +49(0) 69-7953482 199, [www.ibf-ev.org](http://www.ibf-ev.org) • [center@ibf-ev.org](mailto:center@ibf-ev.org)

## PROGRAM

Status: June 06, 2023

# DAY 1 – JUNE 12, 2023

## REGTECH IMPACT FORUM@DIGISUSTAIN

**08:30 am**    **Registration – Opening Fair**

---

**09:30 am**    **Central Opening Digisustain 2023**

---

### **Welcome**

**Samira Maleki**

Member of the Board of Trustees, INGLOSUS, Frankfurt/Main

### **Opening Speech**

**Lucia Puttrich**

Minister of State for Federal and European Affairs of the State of Hesse, Hessian State Chancellery, Wiesbaden

**Ulrich Caspar**

President, Chamber of Commerce and Industry, Frankfurt/Main

**09:50 am**    **End of Central Opening**

---

**10:00 am**    **Welcome & Opening / Session I - AFC In Banking And Finance**

---

### **Welcome**

**Marc Renell**

Vice President, International Banker Forum e.V., Frankfurt/Main

### **Opening Remarks / Introduction**

**Dr. Robert Müller**

Global Head of AFC Framework and Head of AFC Germany, Deutsche Bank, Frankfurt/Main

**10:15 am**    **Discussion**

#### **A view from the top**

- Regulatory landscape: current and upcoming challenges for financial institutions?
- EU AML Package
- Importance of combating financial crime
- Sanctions Enforcement Law II (SDG II)
- Anti-Money Laundering Authority – quo vadis?

**Bernd Michael Lindner**

Partner, BearingPoint, Munich

**Dr. Clara Guerra**

Deputy Director of the Office for Financial Market Innovation and Digitalisation (SFID), Government of the Principality of Liechtenstein

**Dr. Robert Müller**

Global Head of AFC Framework and Head of AFC Germany, Deutsche Bank, Frankfurt/Main

Moderator: **Hartmut Renz**, Director, FCG Risk & Compliance, Frankfurt/Main

\*requested

2

**International Bankers Forum e.V.**

Vorstand: Daniel Kapffer, Alexander Lichtenberg, Dr. Nader Maleki, Reinhard R. Oldenburg,  
Marc Renell, Florian Rentsch, Frank Scheidig, Dr. Joachim von Schorlemer •

Vereinsregister Frankfurt am Main VR 8782

Wiesenu 1, 60323 Frankfurt am Main • Fon +49(0) 69-7953482 101 • Fax +49(0) 69-7953482 199, [www.ibf-ev.org](http://www.ibf-ev.org) • [center@ibf-ev.org](mailto:center@ibf-ev.org)

## PROGRAM

Status: June 06, 2023

### **11:15 am    Session II - Live RegTech Impact Podcast**

---

Guest

**Dr. Clara Guerra**

Deputy Director of the Office for Financial Market Innovation and Digitalisation (SFID),  
Government of the Principality of Liechtenstein

Moderator

**Erkan Wisler**

Founder & Managing Director, RegTech Impact, Frankfurt/Main

### **11:45 am    Break – Coffee & Networking**

---

### **12:15 pm    Session III – RegTech And Regulators**

---

**A regulatory perspective on RegTech and SupTech**

- Regulatory and supervisory responses and how they will support the RegTech, digital, and technology agendas.
- Lack of a harmonized regulatory framework across the EU
- Operationalizing RegTech and overcoming barriers to scale: What are the best practices for developing a RegTech strategy - keyword “regulatory sandboxes”?

**Iain Armstrong**

Practice Lead Global Regulatory Affairs, ComplyAdvantage, London

**Diana Paredes**

CEO & Co-Founder, Suade Labs, London

**Erwin Voloder**

Senior Policy Fellow, European Blockchain Association (EBA), Munich

**Dr. Carsten Wengel**

CEO, Netcetera, Zurich

Moderator

**Dr. Detlef Fechtner**

Editor-in-Chief, Börsen-Zeitung, Frankfurt/Main

### **01:15 pm    Break – Lunch & Networking**

---

\*requested

## PROGRAM

Status: June 06, 2023

### **02:15 pm    Session IV - Cybercrime And Compliance In A Global Context (Industrial And Financial Sector)**

#### **Keynote**

**Ryan Rubin**

Senior Managing Director, Ankura, London

#### **Status quo & outlook in a global context for industry and the financial sector**

- What are currently the greatest challenges of cybercrime risks for companies?
- How can companies protect themselves against cybercrime and how to do so when they have become the victim of an attack?
- The role of RegTech and Cybercrime in practice?

#### **Thomas Barkias**

Senior Supervisor – Cyber Resilience, Crypto, ICT & Operational Risk Lead,  
European Central Bank, Frankfurt/Main

#### **Dr. Dirk Clausmeier**

Deputy Head of Division, Federal Ministry of Finance, Berlin

#### Moderator

**Ryan Rubin**

Senior Managing Director, Ankura, London

### **03:15 pm    Session V – The New Duty Of Sustainability**

#### **ESG and EU taxonomy: what compliance has to do in the industrial as well as the financial sector.**

- What ESG standards exist and for whom are they relevant?
- What are the practical benefits of ESG programs?
- How to set up an ESG program - also in SMEs
- Important internal and external ESG stakeholders
- How is an ESG program evaluated and by whom - can RegTech help?
- What are the consequences of "greenwashing" and how to avoid risks?

#### **Dr. Denise Bauer-Weiler**

Group Compliance, Regulatory & Governance – Member of the Management Board,  
UBS Europe SE, Frankfurt/Main

#### **Manuela Mackert**

Senior Managing Director, Ankura Germany, Frankfurt/Main

#### **Dr. Stephan Niermann**

Group Chief Compliance Officer, N26, Berlin

#### **Hartmut Renz**

Director, FCG Risk & Compliance, Frankfurt/Main

#### Moderator

**Eric Soong**

Group Head Compliance & Corporate Security, Schaeffler, Herzogenaurach

### **04:15 pm    Break – Coffee & Networking**

\*requested

## PROGRAM

Status: June 06, 2023

---

### **04:45 pm    Session VI - Geopolitical Crises / Sanctions / Embargos / Financial Crime And The Role Of Risk And Compliance (Industrial And Financial Sector)**

- What are the consequences for business relations and contracts?
- How RegTech can be applied to manage geopolitical crises / sanctions / embargo risks - RegTech solutions in practice?

#### **Wolfgang Berner**

Co-Founder & CTO/CPO, Hawk:AI, Munich

#### **Alan Levesque**

Senior Managing Director, Ankura, New York

#### **Diane Siegloch**

Head of Business Development, Sinpex, Wiesbaden

#### Moderator

#### **Dr. Jacob Wende**

Founder & CEO, Regpit, Berlin

---

### **05:45 pm    Summary and Closing**

---

### **06:00 pm    End of RegTech Impact Forum@Digisustain**

---

### **06:00 pm    Digisustain get-together**

---

### **08:00 pm    End of first conference day**

\*requested

## PROGRAM

Status: June 06, 2023

# DAY 2 – JUNE 13, 2023

## GLOBAL AML/CFT CONFERENCE @ DIGISUSTAIN

**09:00 am**    **Registration - & Opening Fair**

---

**09:30 am**    **Opening Remarks and Keynote**

---

### **Opening**

**Marc Renell**

Vice President, International Banker Forum e.V., Frankfurt/Main

### **Keynote**

Focus to significantly improve the effectiveness of the fight against money laundering, considering past experiences and the current framework conditions?

**Prof. Dr. Stefan Simon**

Chief Administration Officer, Deutsche Bank, Frankfurt/Main

### **Session I – EU AML Package**

---

#### **Discussion**

- Latest developments, obstacles, and/or lowest common denominator
- Expectations and scope of the new AML Authority (AMLA) from the view of regulators and banks
- The Single Rule Book: Regulatory harmonization of countries

**Carolin Gardner**

Head of Unit AML/CFT, European Banking Authority (EBA), Paris

**Dr. Marcus Pleyer**

Deputy Director-General, Federal Ministry of Finance, Berlin

**Raluca Pruna**

Head of Unit Financial Crime, European Union – Financial Stability, Financial Services and Capital Markets Union (DG FISMA), Brussels

**Prof. Dr. Stefan Simon**

Chief Administration Officer, Deutsche Bank AG, Frankfurt/Main

Moderator

**Michael Peters**

Senior Managing Director, Ankura, Germany;

Head of Expert Committee AML/CFT, International Bankers Forum, Frankfurt/Main

\*requested

## PROGRAM

Status: June 06, 2023

### **10:45 am    Session II – Information Sharing And Shared Utilities**

---

#### **Discussion** (appr. 30 minutes)

- Modern AML packages of measures taking into account other elements of money laundering prevention such as transaction monitoring, SAR reporting as well as prevention of sanctions violations
- Possibilities and limitations to bundle AML measures on national, European, or even global level by using a central utility or other modern IT tools
- Settling additional expectations for the FATF and AMLA to further improve AML measures across countries
- Minimum data protection requirements for the implementation of shared utilities

#### **Helène Erfteimeijer**

Sector Coordinator AML/CFT & Subversive Crime, Dutch Banking Association, Amsterdam

#### **Taavi Tamkivi**

Founder, CEO, Salv Technologies, Tallinn

#### **Dirk Thomas**

GM Big Data & Advanced Analytics, Commerzbank AG, Frankfurt/Main

#### Moderator

**Dr. Detlef Fechtner**, Editor-in-Chief, Börsen-Zeitung, Frankfurt/Main

### **11:30 am    Break – Coffee & Networking**

---

### **12:00 pm    Session III – Output Orientation Within Combating Financial Crime**

---

#### **Discussion** (appr. 45 minutes)

- How can the effectiveness of the fight on the official side be measured?
- Develop global AML standards
- Initiation and outcome of criminal proceeds for SARs
- Convictions for money laundering incl. predicate offences, asset seizures, freezing of sanctioned assets
- How can the effectiveness of money laundering prevention on the part of obligated parties be measured?
- Development of uniform AML standards
  - Number of employees in the area of direct/indirect money laundering prevention
  - Costs spent per year / - Number of processed alerts from transaction monitoring
  - Number of submitted suspicious activity reports

#### **Sebastian Glaab**

Partner, Annerton, Frankfurt/Main

#### **Nikolas Hecht**

Deputy Head | Project BBF - New national AML agency, Federal Ministry of Finance, Berlin

#### **Noah Krüger**

Senior Prosecutor, State Attorney General's Office, Frankfurt/Main

#### Moderator

**Michael Peters**, Senior Managing Director, Ankura Germany;

Head of Expert Committee AML/CFT, International Bankers Forum, Frankfurt/Main

### **01:00 pm    Break – Lunch & Networking**

---

\*requested

7

#### **International Bankers Forum e.V.**

Vorstand: Daniel Kapffer, Alexander Lichtenberg, Dr. Nader Maleki, Reinhard R. Oldenburg,  
Marc Renell, Florian Rentsch, Frank Scheidig, Dr. Joachim von Schorlemer •

Vereinsregister Frankfurt am Main VR 8782

Wiesenu 1, 60323 Frankfurt am Main • Fon +49(0) 69-7953482 101 • Fax +49(0) 69-7953482 199, [www.ibf-ev.org](http://www.ibf-ev.org) • [center@ibf-ev.org](mailto:center@ibf-ev.org)



## PROGRAM

Status: June 06, 2023

### 02:00 pm    **Session IV – PPPs And Supervisory Authorities In AML, CFT, And Sanctions**

#### **Presentation** (appr. 10 minutes)

- Public Private Partnerships
- Benefits, advantages, limitations
- Global examples for blueprints

#### **Roger Kaiser**

Senior Policy Advisor – Fiscal & Anti-Money Laundering, European Banking Federation, Brussels

#### **Discussion**

- Supervisory Authorities in AML, CFT, and Sanctions
- Coordination of focal points
- Packages of measures
- Intensification of cooperation
- Stronger interlinking of national and supra-national supervisory authorities (possibilities and limitations)

#### **Dr. Dorit Bosch**

Founder, Dr. Dorit Bosch Government coaching and Podcast, Berlin

#### **Dr. Stephan Bredt**

Director-General, Hessian Ministry of Economics, Energy, Transport and Housing, Wiesbaden

#### **Roger Kaiser**

Senior Policy Advisor – Fiscal & Anti-Money Laundering, European Banking Federation, Brussels

#### **Dr. Benjamin Vogel**

Head of Illicit Financial Flows Research – Coordinator Public-Private Partnerships on Terrorism Financing (ParTFin), Max-Planck-Institute for the Study of Crime, Security and Law, Freiburg

#### **Prof. Dr. Kilian Wegner**

Assistant Professor for Criminal Law, Criminal Procedure and White-Collar Crime, Europa-University Viadrina, Frankfurt/Oder

#### Moderator

#### **Hagen Weiss**

Counsel, Dentons, Frankfurt/Main

### 03:15 pm    **Summary and Closing**

### 03:30 pm    **End of Global AML/CFT Conference@Digisustain**

\*requested